

LITHIUM BATTERY TEST SUMMARY AND SUPPLIER INQUIRY

IN ACCORDANCE WITH SUB-SECTION 38.3
OF MANUAL OF TESTS AND CRITERIA

N/A = Not Applicable

| |
|---------------------------------------|
| 1. Name/Description of battery |
| |

| |
|---|
| 1a. Name/Description of the cells inside the battery |
| |

The test summary of the cells inside the battery must either be presented or under checkpoint 9 and 9a it must be confirmed that the UN 38.3 test summary for the cells is available.

| | |
|-----------------------------------|--|
| 2. Manufacturer of battery | |
| Name | |
| Address | |
| Phone | |
| Email | |
| Website | |

| | |
|---|--|
| 2a. Manufacturer of the equipment (if the battery is contained in equipment) | |
| Name | |
| Address | |
| Phone | |
| Email | |
| Website | |

| | |
|--------------------------------------|--|
| 3. Test laboratory of battery | |
| Name | |
| Address | |
| Phone | |
| Email | |
| Website | |

| | | | |
|--|--|---------------------|--|
| 4. ID-number and date | | | |
| Unique test report identification number | | Date of test report | |

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Name/Description of battery (taken from field 1)

DESCRIPTION OF BATTERY

5. Mark the type of battery with an "•"

| | | | |
|--|------------------------|-----------------------|--|
| | Lithium ion battery | Lithium metal battery | |
| | Lithium hybrid battery | | |

6. Parameters

| | |
|--|----|
| Mass in gram (g): | |
| Lithium ion: Indicate watt-hour rating (Wh): | |
| Lithium metal: Indicate lithium metal content in gram (g): | |
| Lithium hybrid: Indicate lithium metal content in gram (g) and watt-hour rating (Wh): | g |
| | Wh |

7. Physical description of battery

8. Model numbers

TESTS AND RESULTS

| 9. List of tests conducted and results - Mark N/A, pass or fail with an "•" | N/A | pass | fail |
|---|-----|------|------|
| T1 - Altitude simulation | | | |
| T2 - Thermal Test | | | |
| T3 - Vibration | | | |
| T4 - Shock | | | |
| T5 - External Short Circuit | | | |
| T6 - Impact - for cylindrical cells having a diameter of at least 18 mm See check point 1a and 9a. | | | |
| T6 - Crush - for prismatic cells, pouch cells, button cells and cylindrical cells having a diameter of less than 18 mm. See check point 1a and 9a. | | | |
| T7 - Overcharge | | | |
| T8 - Forced Discharge, only valid for cells. See check point 1a and 9a. | | | |
| | | | |
| | | | |

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| | | | | |
|---|--|--|--|--|
| <p>9a. UN 38.3 Test Confirmation for the Cells inside the battery When no separate document for the cells is provided, this confirms that the cells inside the battery (see checkpoint 1.a.) have successfully passed the UN 38.3 test. In this case under checkpoint 9 the T.6 and T.8 must be marked as „passed“ and here under 9.a. „Cell UN 38.3 Test confirmed“ needs to be ticked.</p> | | <p>Cell UN 38.3 Test confirmed</p> | <p>Cell UN 38.3 Test NOT confirmed</p> | |
|---|--|--|--|--|

| | | | | |
|--|--|--|------------|--|
| 10. Reference to assembled battery testing requirements | | | | |
| | | | <p>N/A</p> | |

| | | | | |
|--|--|--|--|--|
| 11. Reference to the revised edition of the Manual of Tests and Criteria used and to amendments thereto | | | | |
| | | | | |

ADDITIONAL SUPPLIER INQUIRY

| | | | | |
|---|--|------------|-----------|--|
| <p>12. Quality management system for manufacturing batteries Does the manufacturer of the battery manufacture the products based on a documented quality management system according to transport regulations?</p> | | <p>YES</p> | <p>NO</p> | |
|---|--|------------|-----------|--|

| | | | | |
|--|--|------------|-----------|--|
| <p>13. Are the following parameters exceeded? Lithium ion battery: more than 100 Wh Lithium metal battery: more than 2 g Lithium Lithium hybrid Battery: more than 1,5 g Lithium and/or more than 10 Wh</p> | | <p>YES</p> | <p>NO</p> | |
|--|--|------------|-----------|--|

| | | | | |
|--|------------|------------|-----------|--|
| Check point 14 – 16 need to be answered when 13 has been ticked “YES“: | | | | |
| <p>14. Does each battery incorporates a safety venting device or is designed to preclude a violent rupture under normal conditions of carriage?</p> | | <p>YES</p> | <p>NO</p> | |
| <p>15. Is each battery equipped with an effective means of preventing external short circuits?</p> | | <p>YES</p> | <p>NO</p> | |
| <p>16. Is each battery containing cells or series of cells connected in parallel equipped with effective means as necessary to prevent dangerous reverse current flow (e.g. diodes, fuses, etc.)?</p> | <p>N/A</p> | <p>YES</p> | <p>NO</p> | |

| | | | | |
|---|------------|------------|-----------|--|
| 17. Only in air transport: State of Charge (SoC) for UN 3480 Lithium ion batteries and lithium polymer batteries | | | | |
| <p>State of Charge (SoC) max. 30 %</p> | <p>N/A</p> | <p>YES</p> | <p>NO</p> | |

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BATTERIES INSTALLED IN EQUIPMENT

| | | | | | | |
|--|--|-----|-----|----|--|--|
| 18. Check point 18 needs to be answered when the batteries are installed in articles: | | | | | | |
| 18.a) Only button cells enclosed? | | YES | NO | | | |
| 18.b) Number of enclosed batteries per equipment | | | | | | |
| When the equipment is intentionally active/switched on during transport e.g. data loggers: | | | | | | |
| 18.c) Confirmation that no dangerous amount of heat is emitted from the equipment | | N/A | YES | NO | | |
| 18.d) Confirmation that the equipment when transported by air fulfills the defined air transport standards for electromagnetic radiation according to DO-160 | | N/A | YES | NO | | |

| | | |
|-----------------|--------------------------------|---------------------------------|
| 19. Place, Date | 20. Title, Surname, First name | 21. Company stamp and signature |
| | | |